

10/24/01  
J1129 U.S. PTO

12-04-01

10/00557 PTO

**BEST AVAILABLE COPY**Please type a plus sign (+) inside this box → Approved for use through 10/31/2002. OMB 0651-0047  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17) (check as original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification (Preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed Sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(e).
  - ii.  Application Data Sheet. See 37 CFR 1.76

Attorney Docket No.	ATM-204
First Inventor	Bohumil Lojek
Title	SELF-ALIGNED NON-VOLATILE MEMORY CELL
Express Mail Label No.	EL 852360615 US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s)) — copy from prior appln.
10.  37 CFR 3.73(b) Statement  Power of Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: **Cert. of Mailing** ? Check

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)of prior application No. 09/927,571  
Examiner R. Booth Group Art Unit 2812Prior application information:  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	003897	or <input checked="" type="checkbox"/> Correspondence address below
Name	Law Offices of Thomas Schneck	
Address	P.O. Box 2-E	
City	San Jose	State CA
Country	U.S.A.	Zip Code 95109-0005
Name (Print/Type)	Thomas Schneck	Registration No. (Attorney/Agent) 24,518
Signature	Thomas Schneck	
	Date 10/24/2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# BEST AVAILABLE COPY

Approved for use through 10/31/2002 OMB 0651-0032  
U S Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid DMB control number.

## FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 740.00)

### Complete if Known

Application Number	
Filing Date	
First Named Inventor	Bohumil Lojek
Examiner Name	
Group Art Unit	
Attorney Docket No.	ATM-204

### METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
Deposit Account Number **19-0590**  
Deposit Account Name **Thomas Schneck Law Offices**
2.  Payment Enclosed:  
 Check    Credit card    Money Order    Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 690	201 345	Utility filing fee	<b>740</b>
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

**SUBTOTAL (1) (\$ 740)**

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
8	-20*	= 0	x = <b>0</b>
Independent Claims	-3**	= 0	x = <b>0</b>
Multiple Dependent			

\* or number previously paid, if greater. For Reissues, see below

Large Entity Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim, if not paid	
109 78	209 39	- Reissue independent claims over original patent	
110 18	210 9	- Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) (\$ --)**

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65		Surcharge - late filing fee or oath	
127 50	227 25		Surcharge - late provisional filing fee or cover sheet	
139 130	139 130		Non-English specification	
147 2,520	147 2,520		For filing a request for ex parte reexamination	
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	
115 110	215 55		Extension for reply within first month	
116 380	216 190		Extension for reply within second month	
117 870	217 435		Extension for reply within third month	
118 1,360	218 680		Extension for reply within fourth month	
128 1,850	228 925		Extension for reply within fifth month	
119 300	219 150		Notice of Appeal	
120 300	220 150		Filing a brief in support of an appeal	
121 260	221 130		Request for oral hearing	
138 1,510	138 1,510		Petition to institute a public use proceeding	
140 110	240 55		Petition to revive - unavoidable	
141 1,210	241 605		Petition to revive - unintentional	
142 1,210	242 605		Utility issue fee (or reissue)	
143 430	243 215		Design issue fee	
144 580	244 290		Plant issue fee	
122 130	122 130		Petitions to the Commissioner	
123 50	123 50		Petitions related to provisional applications	
126 240	126 240		Submission of Information Disclosure Stmt	
581 40	581 40		Recording each patent assignment per property (times number of properties)	
146 690	246 345		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345		For each additional invention to be examined (37 CFR § 1.129(b))	
179 690	279 345		Request for Continued Examination (RCE)	
169 900	169 900		Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ )**

### SUBMITTED BY

Name (Print/Type)	Thomas Schneck	Registration No. (Attorney/Agent)	24,518	Complete if applicable
Signature	<i>Thomas Schneck</i>	Date	10/24/2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**BEST AVAILABLE COPY**

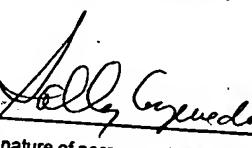
ATM-204

Certificate under 37 CFR 1.10 of Mailing by "Express Mail"

EL 852360615 US  
"Express Mail" label number

October 24, 2001  
Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of person mailing correspondence

Sally Azevedo  
Typed or printed name of person mailing correspondence

Note: Each paper must have its own certificate of mailing by "Express Mail".

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.